

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

MORGAN, LEWIS & BOCKIUS

WASHINGTON, DC 20036-5869

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/923,801

1800 M STREET NW

009629

08/08/2001

Seishi Fujiwara

040808-5109

CONFIRMATION NO. 9887

FORMALITIES LETTER

OC000000006560188

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/19/2001 SDIRETAL 00000005 09923801

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:105 03 FC:103 04 FC:104 740.00 DP 130.00 DP 576.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$846.
 - \$576 for 32 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1686.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT ATTORNEY DOCKET NO.: 040808-5109

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MON 1 8 SOON 25	IN THE UN	ITED STATES PATENT	AND TRADEMARK OFFICE
	lication of:)
Seishi FU	JIWARA et a	1.))
Application	on No.: 09/923	3,801 —) Group Art Unit: 1721
Filed: Au	ugust 8, 2001) Examiner: Unassigned
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	ioner for Pater		
	on, D.C. 2023		
		PONSE TO NOTICE TO	
	nis replies to the ailed Septemb	_	rts of Nonprovisional Application
	copy of the N closed.	otice To File Missing Parts	Of Nonprovisional Application is
2. De	eclaration Or (Dath	
		aration or oath was filed. Entire ion and Power of Attorney	nclosed is the original Combined
	S	-	the declaration is a copy of the ments thereto which were filed in the
	•		iled was determined to be defective. on and Power of Attorney is attached.

PATENT ATTORNEY DOCKET NO.: 040808-5109

3.	Englis	sh Translation of Non-English Language Papers
		Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.
		Also enclosed is the Verification of Translation Document
4.	Small Entity Status	
		Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

5. Fee Calculation	<u> </u>		D:- E	740.00
			Basic Fee:	740.00
			ent Application-\$740.00	
-		Desi	gn Application-\$330.00	
	Number Filed	Number Extra	at a Rate of	
Total Claims	52 - 20 =	32	\$ 18.00 each=	576.00
Independent Claims	3 - 3 =	0	\$ 84.00 each=	
Multiple dependent clai	m(s), if any		\$280.00	280.00
Missing Parts Surcharg			\$130.00	130.00
Missing Farte Caronary	,0.00		SUB-TOTAL =	1726.00
	Red	duction by 1/2 for	filing by a small entity	
			SUB-TOTAL =	1726.00
Fee For Application File	ed With A Non-Eng	lish Specification (3	37	
C.F.R. § 1.17(k) and §			\$130.00	
Fee For Processing an	d retention of applic	cation (37 C.F.R.		
§ 1.21(I) and § 1.53(d)		-	\$130.00	
			TOTAL FILING FEE =	\$1726.00

PATENT

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6	Extension	of Lime
	EXIGUATOR	OI IIII

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
one month	\$ 110.00	\$ 55.00
two months	\$ 400.00	\$200.00
three months	\$ 920.00	\$460.00
four months	\$1,440.00	\$720.00
five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

The total fee due is: Completion Fees \$1726.00

Extension Fees \$

Total Fee Due \$1726.00

- Enclosed is a check in the amount of \$1766.00 representing the total fee due and the assignment recordation fee.
- Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

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8.	Addition	onal papers enclosed. Formal Drawings
		Claim for Priority
		Preliminary Amendment
	\boxtimes	Information Disclosure Statement
	$\overline{\boxtimes}$	Form PTO-1449, 1 reference as listed
		Citations
		Declaration of Biological Deposit
		Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
		Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell Reg. No. 41,040

Date: November 16, 2001

CUSTOMER NO. 09629 MORGAN, LEWIS & BOCKIUS LLP 1800 M Street, N.W. Washington, D.C. 20036 Telephone: (202) 467-7000

Facsimile: (202) 467-7176